

801 Scholz Dr.  
Vandalia, OH 45373  
www.johnsonelectric.com

## **JOHNSON ELECTRIC AMERICAS COUNTERFEIT PARTS PREVENTION POLICY**

This Counterfeit Parts Prevention Policy is issued in order to acknowledge our Electronic Components supply base the terms, condition and responsibility to prevent Johnson Electric on supplying counterfeit parts.

By signing this policy, Supplier accepts the terms of this Policy. This Policy overrides and takes precedence over any conflicting terms of any such document or any other document referenced therein.

As used herein, the term “**Supplier**” refers to the person or entity selling goods or services to Johnson Electric.

### **Requirements:**

#### **1.0 Guarantee of Product Source(s)**

1.1 The Supplier shall ensure that only new and authentic materials are used in products delivered to Johnson Electric. The Supplier may only purchase parts directly from Original Component Manufacturers, their authorized distributors, or authorized aftermarket manufacturers. Use of product that was not provided by these sources is not authorized unless first approved in writing by Johnson Electric.

The Supplier shall present supporting evidences and documentation upon request that includes all actions that ensures that parts procured are authentic/conforming parts (i.e. Original Component Manufacturers documentation that authenticates supply chain traceability of parts).

1.2 The Supplier shall disclose in writing at the time of each individual quotation, the source of supply (by company name and location). If the Supplier considers that the source information of the supplied part is proprietary to the Supplier, the Supplier and Johnson Electric shall negotiate an appropriate disclosure agreement.

#### **2.0 Supply Chain Traceability**

2.1 The Supplier shall maintain a process to control the supply chain traceability that ensures tracking of the supply chain back to the manufacturer of all Electrical, Electronic, and Electromechanical (EEE) parts included in assemblies and subassemblies being delivered to Johnson Electric. This supply chain traceability method shall clearly identify the name and location of all of the supply chain intermediaries from the manufacturer to the direct source of the product for the Supplier and shall include the manufacturer's batch identification for the item(s) such as date codes, lot codes, serializations, or other batch identifications.

#### **3.0 Test and Inspection Requirements**

3.1 The Supplier shall establish and implement test and inspection activities necessary to assure the authenticity of purchased product, in accordance to the requirements established by Johnson Electric, including:

3.1.1 Supply chain traceability and documentation verification

3.1.2 Visual examination

The Supplier shall prepare and provide to Johnson Electric records evidencing tests and inspections performed and conformance of the product to specified acceptance criteria upon request.

#### **4.0 Certificate of Conformance**

4.1 The Supplier shall provide a full supply chain traceability including copies of Electrical, Electronic, and Electromechanical (EEE) part Manufacturer Certificates of Conformance (CoC).

4.2 The Manufacturer and the Supplier of its products shall complete a Certificate of Conformance (CoC) and full supply chain traceability for all parts.

4.2.1 The Supplier shall attach a hard copy of the CoC to the shipment and send a soft copy to the following address PRIOR shipment: [COA@johnsonelectric.com](mailto:COA@johnsonelectric.com); the heading/title of the e-mail shall be the delivery site (i.e. Vandalia, Springfield, Zacatecas, etc.), part number and PO. In no case shall the manufacturer's certificate be altered or show signs of alteration.

4.2.2 The Supplier shall retain copies of certificates with the lot records.

4.2.3 The Supplier shall retain the product and shipment traceability for minimum 10 years.

4.3 The Manufacturer's CoC should include the following:

4.3.1 Supplier Name and address

4.3.2 Johnson Electric part number.

4.3.3 Production Lot Date / Lot Number (#)

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4.3.4 PO Number (#)

4.3.5 Inspection detail (Specification, AQL, Measurement results, Acceptance status, Inspection date, Inspector name and signature.

4.5.6 Supplier outgoing quality control items shall not be less than incoming inspection items in Johnson Electric.

#### **5.0 Quality Management System**

5.1 The Supplier shall be qualified, at a minimum, to the latest revision of ISO 9001 (TS 16949 certification is preferred). Certification to other quality management system standards will be required according to related requirements (if applicable).

5.1.1 Supplier shall maintain all applicable certifications and inform Johnson Electric in the event of a change to the certification body, major audit findings, or loss of certification.

#### **6.0 Product Rejection and Financial Responsibility**

6.1 If suspect or confirmed fraudulent/counterfeit EEE parts are delivered under any purchase order or agreement, such items shall be rejected. The Supplier shall promptly replace such items with acceptable items according to Johnson Electric expectation, and the Supplier may be liable for all costs relating to rejects, removal, and replacement. Johnson Electric may turn such items over to the authority having jurisdiction (i.e. Federal Bureau of Investigation, Ministry of Defense, Police, etc.) for investigation and reserves all rights and remedies at law, including, without limitation, the right to withhold payment for the items pending the results of the investigation.